



R F D

Results Framework Document
for
Ministry of Urban Development

(2010-2011)

Section 1: Vision, Mission, Objectives and Functions

Vision

To facilitate creation of economically vibrant, inclusive, efficient and sustainable urban habitats

Mission

To help promote cities as engines of economic growth through improvement in the quality of urban life by facilitating creation of quality urban infrastructure, with assured service levels and efficient governance

Objectives

- 1 Facilitate creation of basic urban infrastructure relating to water supply, sanitation and urban transport to improve service levels and coverage by 2017
- 2 Creating enabling Policy environment for efficient and inclusive urban Governance
- 3 Facilitate implementation of urban sector reforms under Jawaharlal Nehru national Urban Renewal Mission
- 4 Capacity building of key stake holders including elected representatives for efficient urban management.
- 5 To facilitate planned development of national capital region
- 6 Creation of residential facilities for Government officials and office space for Government and administration of CPWD.

Functions

- 1 Administration of urban infrastructure and governance component of Jawaharlal Nehru National Urban Renewal Mission, Scheme for Development of Infrastructure in Satellite towns, North-Eastern Region Urban Development Programme, Metros etc., for creation of basic urban infrastructure
- 2 Facilitate Implementation of Urban Sector Reforms through various schemes and Policy initiatives
- 3 Preparation of Plans for the development of the National Capital Region and coordinate and monitor its implementation
- 4 Facilitate access to Institutional finance for urban infrastructure in States in National Capital Region through National Capital Regional Planning Board (NCRPB).
- 5 Coordination of multilateral / bilateral assistance for projects related to urban development
- 6 Construction and maintenance of Central government residential and official Buildings through Central Public Works Department (CPWD)
- 7 Management of land and built-up properties of Government of India through Land and Development Office (L&DO) and

Section 1: Vision, Mission, Objectives and Functions

Directorate of Estates

- 8 Technical assistance through Town and Country Planning Organization (TCPO), Central Public Health & Environmental Engineering Organization (CPHEEO) and National Institute of Urban Affairs (NIUA), Regional Centres for Urban Studies and Environment and Centres of Excellence
- 9 Administration of Delhi Development Authority (DDA), National Building Construction Corporation (NBCC)

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	VeryGood	Good	Fair	Poor
						100%	90%	80%	70%	60%
1 Facilitate creation of basic urban infrastructure relating to water supply, sanitation and urban transport to improve service levels and coverage by 2017	34.00	Sanction of water supply projects	No. of projects sanctioned	Number	2.38	20	18	16	14	12
		Completion of water supply projects	No. of projects completed	Number	4.42	119	107	95	83	71
			capacity augmented in Mld.	Number	3.40	2300	2000	1800	1600	1300
		Sanction of solid waste management projects	No. of projects sanctioned	Number	2.38	4	3	2	1	0
		Completion of solid waste management projects	No. of towns covered	Number	3.74	16	14	12	10	8
		Sanction of Sewerage Projects	No. of projects sanctioned	Number	2.38	18	16	14	12	10
		Completion of Sewerage Projects	No. of projects completed	Number	4.42	23	21	19	17	15
			Capacity created in Mld.	Number	3.06	2500	2300	2200	2000	1700
		Delivery of Buses	Total number of buses delivered	Number	1.36	2500	2200	2000	1800	1600
		Sanction of metro rail projects	No. of projects sanctioned	Number	3.40	2	1	1	1	1
Completion of Sections of Metro rail lines	Number of sections completed	Number	3.06	13	12	11	10	9		
2 Creating enabling Policy environment for efficient and inclusive urban Governance	15.00	Awareness campaign under NUSP	Coverage of Class 1 cities	Number	3.00	400	360	320	280	240
		Benchmarking services levels of basic services in cities	Coverage of cities	Number	3.00	50	45	40	35	30
		Preparation of City sanitation Plans	Number of new Cities covered	Number	3.00	100	90	80	70	60
		Legislations / policy	Introduction of legislations / issue of policy advisories	Number	3.00	6	5	4	3	1

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value					
						Excellent	VeryGood	Good	Fair	Poor	
						100%	90%	80%	70%	60%	
		Urban Transport related policy measures	Setting up of UMTA, Preparation of Parking policy, Advertisement policy, comprehensive mobility plans and dedicated urban transport fund	Number	3.00	34	31	28	25	22	
3	14.00	Facilitate implementation of urban sector reforms under Jawaharlal Nehru national Urban Renewal Mission	Implementation of reforms identified under the mission and other schemes	Percentage of reforms implemented against target in identified cities.	Number	14.00	75	68	61	54	47
4	10.00	Capacity building of key stake holders including elected representatives for efficient urban management.	Conduct of training programmes	No. of people trained during the year	Number	8.00	5500	5000	4500	4000	3000
			Independent Impact assessment of capacity building	Completion of impact assessment	Date	2.00	31/10/2010	30/11/2010	31/12/2010	31/01/2011	28/02/2011
5	9.00	To facilitate planned development of national capital region	Preparation of functional plan	Functional plans prepared	Number	1.80	3	2	1	0	0
			Approval of Zonal Development Plans 2021 submitted by DDA to the Ministry	Issue of letter conveying the approval to DDA.	Date	1.80	30/04/2010	31/05/2010	30/06/2010	31/08/2010	31/10/2010
			Monitoring of implementation of Regional Plan	No. of meetings held	Number	1.80	8	7	6	5	4
			Approval of the competent authority on finalization of Policy on regularization of un-authorized affluent colonies, including Sainik Farms to be obtained by the Ministry	Issue of Policy guidelines to the concerned agencies by the Ministry	Date	1.80	31/12/2010	31/01/2011	28/02/2011	31/03/2011	01/04/2011
			Timely release of Govt. Grants to DDA and construction of 4 related projects of CWG-D 2010	Completion of all the infrastructure projects.	Date	1.80	30/06/2010	15/08/2010	31/08/2010	15/09/2010	30/09/2010
6	5.00	Creation of residential facilities for Government officials and office space for Government and administration of	Construction of General Pool residential accommodation	No. of units to be completed	Number	0.50	346	302	200	150	100

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	VeryGood	Good	Fair	Poor
						100%	90%	80%	70%	60%
CPWD.										
		Construction of GPOA	Area completed in square meters	Number	0.50	86886	56886	51000	35886	35885
		Completion of Common Wealth Games stadia	Completion of work	Number	2.00	100	99	99	99	99
		Implementation of Web based Project Management and Monitoring System in CPWD.	System to be finalised and implemented in Northern, Eastern, Western and Southern Regions by March, 2011	Number	1.00	100	75	50	25	24
		Implementation of E-tendering system in CPWD.	System to be finalised and implemented in Northern, Eastern, Western and Southern Regions by March, 2011	Number	1.00	100	75	50	25	24
* Efficient Functioning of the RFD System	5.00	Timely submission of Draft for Approval	On-time submission	Date	2.00	05/03/2010	08/03/2010	09/03/2010	10/03/2010	11/03/2010
		Timely submission of Results	On- time submission	Date	1.00	02/05/2011	03/05/2011	04/05/2011	05/05/2011	06/05/2011
		Finalize a Strategic Plan	Finalize the Strategic Plan for next 5 years	Date	2.00	10/12/2010	15/12/2010	20/12/2010	24/12/2010	31/12/2010
* Improving Internal Efficiency / Responsiveness / Service delivery of Ministry / Department	6.00	Develop RFDs for all Responsibility Centers (Subordinate Offices, Attached Offices, Autonomous Bodies)	Percentage of RCs covered	%	2.00	100	95	90	85	80
		Implementation of Sevottam	Create a Sevottam compliant to implement, monitor and review Citizen's Charter	Date	1.00	01/10/2010	05/10/2010	11/10/2010	15/10/2010	20/10/2010
			Create a Sevottam Compliant system to redress and monitor public Grievances	Date	1.00	01/10/2010	05/10/2010	11/10/2010	15/10/2010	20/10/2010

* Mandatory Objective(s)

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	VeryGood	Good	Fair	Poor
						100%	90%	80%	70%	60%
			Independent Audit of Implementation of Citizen's Charter	%	1.00	100	95	90	85	80
			Independent Audit of implementation of public grievance redressal system	%	1.00	100	95	90	85	80
* Ensuring compliance to the Financial Accountability Framework	2.00	Timely submission of ATNS on Audit Paras of C&AG	Percentage of ATNS submitted within due date (4 months) from date of presentation of Report to Parliament by CAG during the year.	%	0.50	100	90	80	70	60
		Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRs submitted within due date (6 months) from date of presentation of Report to Parliament by PAC during the year.	%	0.50	100	90	80	70	60
		Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2010.	Percentage of outstanding ATNs disposed off during the year.	%	0.50	100	90	80	70	60
		Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2010	Percentage of outstanding ATRs disposed off during the year.	%	0.50	100	90	80	70	60

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 08/09	Actual Value for FY 09/10	Target Value for FY 10/11	Projected Value for FY 11/12	Projected Value for FY 12/13
1 Facilitate creation of basic urban infrastructure relating to water supply, sanitation and urban transport to improve service levels and coverage by 2017	Sanction of water supply projects	No. of projects sanctioned	Number	43	11	20	3	7
	Completion of water supply projects	No. of projects completed	Number	5	14	119	30	30
		capacity augmented in Mld.	Number	89	342	2300	1200	1200
	Sanction of solid waste management projects	No. of projects sanctioned	Number	12	1	4	1	1
	Completion of solid waste management projects	No. of towns covered	Number	0	5	16	9	9
	Sanction of Sewerage Projects	No. of projects sanctioned	Number	38	8	18	3	3
	Completion of Sewerage Projects	No. of projects completed	Number	1	11	23	30	30
		Capacity created in Mld.	Number	0	138	2500	1500	1500
	Delivery of Buses	Total number of buses delivered	Number	--	2500	2500	--	--
	Sanction of metro rail projects	No. of projects sanctioned	Number	2	--	2	--	--
Completion of Sections of Metro rail lines	Number of sections completed	Number	4	--	13	13	13	
2 Creating enabling Policy environment for efficient and inclusive urban Governance	Awareness campaign under NUSP	Coverage of Class 1 cities	Number	--	400	400	400	400
	Benchmarking services levels of basic services in cities	Coverage of cities	Number	--	27	50	100	100
	Preparation of City sanitation Plans	Number of new Cities covered	Number	--	50	100	100	100
	Legislations / policy	Introduction of legislations / issue of policy advisories	Number	5	5	6	6	7

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 08/09	Actual Value for FY 09/10	Target Value for FY 10/11	Projected Value for FY 11/12	Projected Value for FY 12/13	
	Urban Transport related policy measures	Setting up of UMTA, Preparation of Parking policy, Advertisement policy, comprehensive mobility plans and dedicated urban transport fund	Number	25	23	34	33	30	
3	Facilitate implementation of urban sector reforms under Jawaharlal Nehru national Urban Renewal Mission	Implementation of reforms identified under the mission and other schemes	Percentage of reforms implemented against target in identified cities.	Number	56	65	75	100	--
4	Capacity building of key stake holders including elected representatives for efficient urban management.	Conduct of training programmes	No. of people trained during the year	Number	5836	4545	2600	6500	6000
		Independent Impact assessment of capacity building	Completion of impact assessment	Date	--	--	--	--	--
5	To facilitate planned development of national capital region	Preparation of functional plan	Functional plans prepared	Number	--	2	3	--	--
		Approval of Zonal Development Plans 2021 submitted by DDA to the Ministry	Issue of letter conveying the approval to DDA.	Date	--	--	31/05/2010	--	--
		Monitoring of implementation of Regional Plan	No. of meetings held	Number	5	5	8	8	8
		Approval of the competent authority on finalization of Policy on regularization of un-authorized affluent colonies, including Sainik Farms to be obtained by the Ministry	Issue of Policy guidelines to the concerned agencies by the Ministry	Date	--	--	31/01/2011	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 08/09	Actual Value for FY 09/10	Target Value for FY 10/11	Projected Value for FY 11/12	Projected Value for FY 12/13
	Timely release of Govt. Grants to DDA and construction of 4 related projects of CWG-D 2010	Completion of all the infrastructure projects.	Date	--	--	15/08/2010	--	--
6 Creation of residential facilities for Government officials and office space for Government and administration of CPWD.	Construction of General Pool residential accommodation	No. of units to be completed	Number	98	176	346	700	700
	Construction of GPOA	Area completed in square meters	Number	0	0	86886	15000	15000
	Completion of Common Wealth Games stadia	Completion of work	Number	--	--	100	--	--
	Implementation of Web based Project Management and Monitoring System in CPWD.	System to be finalised and implemented in Northern, Eastern, Western and Southern Regions by March, 2011	Number	--	--	100	--	--
	Implementation of E-tendering system in CPWD.	System to be finalised and implemented in Northern, Eastern, Western and Southern Regions by March, 2011	Number	--	--	100	--	--
* Efficient Functioning of the RFD System	Timely submission of Draft for Approval	On-time submission	Date			--	--	--
	Timely submission of Results	On- time submission	Date			--	--	--
	Finalize a Strategic Plan	Finalize the Strategic Plan for next 5 years	Date			--	--	--
* Improving Internal Efficiency / Responsiveness / Service delivery of Ministry / Department	Develop RFDs for all Responsibility Centers (Subordinate Offices, Attached Offices, Autonomous Bodies)	Percentage of RCs covered	%			--	--	--

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 08/09	Actual Value for FY 09/10	Target Value for FY 10/11	Projected Value for FY 11/12	Projected Value for FY 12/13
	Implementation of Sevottam	Create a Sevottam compliant to implement, monitor and review Citizen's Charter	Date			--	--	--
		Create a Sevottam Compliant system to redress and monitor public Grievances	Date			--	--	--
		Independent Audit of Implementation of Citizen's Charter	%			--	--	--
		Independent Audit of implementation of public grievance redressal system	%			--	--	--
* Ensuring compliance to the Financial Accountability Framework	Timely submission of ATNS on Audit Paras of C&AG	Percentage of ATNS submitted within due date (4 months) from date of presentation of Report to Parliament by CAG during the year.	%	--	--	--	--	--
	Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRs submitted within due date (6 months) from date of presentation of Report to Parliament by PAC during the year.	%	--	--	--	--	--
	Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2010.	Percentage of outstanding ATNs disposed off during the year.	%	--	--	--	--	--
	Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2010	Percentage of outstanding ATRs disposed off during the year.	%	--	--	--	--	--

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 08/09	Actual Value for FY 09/10	Target Value for FY 10/11	Projected Value for FY 11/12	Projected Value for FY 12/13

* Mandatory Objective(s)

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

I. Sanction of Projects: Success indicator is defined as Timely sanction of projects

Proposed Measurement: The ratio of total number of projects sanctioned and total number of projects recommended for sanction.

II. Completion of Projects: Success indicator is defined as Number of Projects completed

Proposed Measurement: Total number of projects completed to total number of projects scheduled for completion.

III. Implementation of Policy: Success indicator is defined as ratio of activities implemented against the actual target.

IV. Capacity Building: Success indicator has been defined the total number of persons trained, since it will not be possible to device a method for measurement of efficient training.

V. Implementation of Reforms: The success indicator has been defined as the ratio of the total number of reforms achieved against the total number of reforms targeted up to Financial Year (2009-10). The total number of reforms targeted is arrived at by adding together the number of reforms promised for implementation by each city/state up to the year end. The reforms achieved is arrived at by adding together the number reforms actually implemented by each city/state.

VI. Activities such as benchmarking, preparation of sanitation plans and ratings of cities have been launched to achieve the objectives for creating enabling environment for efficient and inclusive urban governance and improvement of service levels and coverage. These activities require measurement and reporting of outcomes such as improved supply, coverage, improvements in collections as opposed reporting of outlays.

Section 5:
Specific Performance Requirements from other Departments

Department	Relevant Success Indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
Respective Administrative Ministries / Depts.	Sanction of projects / Release of Funds	Expeditious releases	Projects cannot progress if funds are not given on time	Fully Dependent	Coordination may be hampered
State Government including its State Level Nodal Agency	Sanction of projects	Forwarding of properly prepared DPR with requisite approvals	Without cooperation of State Governments, sanction, implementation and monitoring of projects and reforms not possible	-do-	Implementation of the Scheme or Reforms not possible
	Completion of projects	Efficient project management and monitoring	-Do-	Fully Dependent	-Do-
	% of reforms implemented	Timely action to implement the reforms	-Do-	Fully dependent	-Do-
GOI Planning Commission	Sanction of projects	Funding/ Additional funding for schemes	Projects can be taken up/ completed only if funds are provided	Fully dependent	Sanction cannot be accorded by CSMC to new projects
GOI M/o Finance	Sanction of projects and completion of projects	Funding		Fully dependent	Implementation of schemes may be delayed for want of funds

Urban Local Bodies/ other implementing agencies	Completion of project	Timely and proper action for pre- project preparatory work , obtaining necessary clearances and smooth implementation of projects	For efficient execution and timely completion of the schemes.	Fully dependent	Quality and timely implementation cannot be ensured
	% of reforms implemented	Timely action to implement the reforms	Implementation of reforms is a condition for implementation of the schemes	Fully dependent	Implementation of relevant Projects/reforms not possible