



# R F D

(Results-Framework Document)

for

(Ministry of Urban Development)

(2011-2012)

## Section 1: Vision, Mission, Objectives and Functions

### Vision

To create economically vibrant, inclusive, efficient and sustainable urban habitats

### Mission

To help promote cities as engines of economic growth through improvement in the quality of urban life by facilitating creation of quality urban infrastructure, with assured service levels and efficient governance

### Objective

- 1 Facilitate creation of basic urban infrastructure relating to water supply, sanitation and urban transport to improve service levels and coverage by 2017
- 2 Creating enabling policy environment for efficient and inclusive urban governance
- 3 Facilitate implementation of Urban Sector Reforms under Jawaharlal Nehru National Urban Renewal Mission
- 4 Capacity building of key stakeholders including elected representatives for efficient urban management
- 5 To facilitate planned development of the National Capital Region

### Functions

- 1 Coordination of multilateral / bilateral assistance for projects related to urban development
- 2 Construction and maintenance of Central government residential and official Buildings through Central Public Works Department (CPWD)
- 3 Management of land and built-up properties of Government of India through Land and Development Office (L&DO;) and Directorate of Estates
- 4 Administration of urban infrastructure and governance component of Jawaharlal Nehru National Urban Renewal Mission, Scheme for Development of Infrastructure in Satellite towns, North-Eastern Region Urban Development Programme, Metros etc., for creation of basic urban infrastructure
- 5 Facilitate Implementation of Urban Sector Reforms through various schemes and Policy initiatives
- 6 Preparation of Plans for the development of the National Capital Region and coordinate and monitor its implementation
- 7 Administration of Delhi Development Authority (DDA), National Building Construction Corporation (NBCC)
- 8 Facilitate access to Institutional finance for urban infrastructure in States in National Capital Region through National Capital Regional Planning Board (NCRPB).
- 9 Technical assistance through Town and Country Planning Organization (TCPO), Central Public Health & Environmental Engineering Organization (CPHEEO) and National Institute of Urban Affairs (NIUA), Regional Centres for Urban Studies and Environment and Centres of Excellence

## Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[1] Facilitate creation of basic urban infrastructure relating to water supply, sanitation and urban transport to improve service levels and coverage by 2017	36.00	[1.1] Sanction of water supply projects	[1.1.1] No. of projects sanctioned	Number	3.50	3	2	1	0	0
		[1.2] Completion of water supply projects	[1.2.1] No. of projects completed	Number	3.50	30	25	20	15	10
			[1.2.2] capacity augmented	Mld.	2.00	1200	1000	800	600	400
		[1.3] Sanction of solid waste management projects	[1.3.1] No. of projects sanctioned	Number	3.50	2	1	0	0	0
		[1.4] Completion of solid waste management projects	[1.4.1] No. of towns covered	Number	3.50	10	8	6	4	2
		[1.5] Sanction of Sewerage/ septage Projects	[1.5.1] No. of projects sanctioned	Number	4.00	3	2	1	0	0
		[1.6] Completion of Sewerage/ septage Projects	[1.6.1] No. of projects completed	Number	3.50	30	20	25	7	4
			[1.6.2] Capacity created	Mld.	3.50	1500	1000	500	400	200
		[1.7] Delivery of buses	[1.7.1] Total number of buses delivered	Number	2.00	1500	1200	1000	800	600
		[1.8] Sanction of metro rail projects	[1.8.1] No. of projects sanctioned	Number	3.50	01	00	00	00	00
[1.9] Completion of Sections of Metro Rail lines	[1.9.1] No. of Sections completed	Number	3.50	2	2	2	1	00		
[2] Creating enabling policy environment for efficient and inclusive urban governance	20.00	[2.1] Awareness campaign under NUSP	[2.1.1] Number of Class 1 cities in which awareness campaign undertaken	Number	4.00	400	360	320	280	240
		[2.2] Benchmarking services levels of basic services in cities	[2.2.1] Number of cities for which benchmarking has been done	Number	4.00	1000	900	800	700	600

## Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		[2.3] Finalization of City sanitation Plans	[2.3.1] Number of Cities covered	Number	4.00	100	90	80	70	60
		[2.4] Legislations	[2.4.1] Introduction of legislations	Number	2.00	1	0	0	0	0
		[2.5] Policy	[2.5.1] Issue of Policy advisories	Number	2.00	5	4	3	2	1
		[2.6] Implementation of Urban Transport related policy measures	[2.6.1] Number of policy measures implemented	Number	4.00	12	11	10	8	7
[3] Facilitate implementation of Urban Sector Reforms under Jawaharlal Nehru National Urban Renewal Mission	15.00	[3.1] Implementation of reforms identified under the mission and other schemes	[3.1.1] % of reforms implemented against target in identified cities.	%	15.00	75	66	58	51	45
[4] Capacity building of key stakeholders including elected representatives for efficient urban management	10.00	[4.1] Conduct of training programmes	[4.1.1] No. of people trained	Number	10.00	6500	6000	5500	5000	4500
[5] To facilitate planned development of the National Capital Region	4.00	[5.1] Submission to the competent authority the policy on regularization of unauthorized affluent colonies by the Ministry	[5.1.1] Submission of draft policy guidelines to the competent authority	Date	4.00	31/08/2011	31/10/2011	31/12/2011	29/02/2012	31/03/2012
* Efficient Functioning of the RFD System	3.00	Timely submission of Draft for Approval	On-time submission	Date	2.0	07/03/2011	08/03/2011	09/03/2011	10/03/2011	11/03/2011
		Timely submission of Results	On- time submission	Date	1.0	01/05/2012	03/05/2012	04/05/2012	05/05/2012	06/05/2012
* Improving Internal Efficiency / Responsiveness / Service delivery of Ministry / Department	10.00	Implementation of Sevottam	Resubmission of revised draft of Citizens' / Clients' Charter	Date	2.0	15/12/2011	20/12/2011	25/12/2011	28/12/2011	31/12/2011
			Independent Audit of Implementation of Grievance Redress Mechanism	%	2.0	100	95	90	85	80
		Ensure compliance with Section 4(1) (b) of the RTI	No. of items on which information is uploaded	No	2.0	16	15	14	13	12

\* Mandatory Objective(s)

## Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		Act, 2005	by February 10, 2012							
		Identify potential areas of corruption related to departmental activities and develop an action plan to mitigate them	Finalize an action plan to mitigate potential areas of corruption.	Date	2.0	10/02/2012	15/02/2012	20/02/2012	24/02/2012	29/02/2012
		Develop an action plan to implement ISO 9001 certification	Finalize an action plan to implement ISO 9001 certification	Date	2.0	10/02/2012	15/02/2012	20/02/2012	24/02/2012	29/02/2012
* Ensuring compliance to the Financial Accountability Framework	2.00	Timely submission of ATNS on Audit Paras of C&AG	Percentage of ATNS submitted within due date (4 months) from date of presentation of Report to Parliament by CAG during the year.	%	0.5	100	90	80	70	60
		Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRs submitted within due date (6 months) from date of presentation of Report to Parliament by PAC during the year.	%	0.5	100	90	80	70	60
		Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2011.	Percentage of outstanding ATNs disposed off during the year.	%	0.5	100	90	80	70	60
		Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2011	Percentage of outstanding ATRs disposed off during the year.	%	0.5	100	90	80	70	60

\* Mandatory Objective(s)

### Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value	Actual Value	Target Value	Projected Value for	Projected Value for
				FY 09/10	FY 10/11	FY 11/12	FY 12/13	FY 13/14
[1] Facilitate creation of basic urban infrastructure relating to water supply, sanitation and urban transport to improve service levels and coverage by 2017	[1.1] Sanction of water supply projects	[1.1.1] No. of projects sanctioned	Number	11	20	2	7	7
	[1.2] Completion of water supply projects	[1.2.1] No. of projects completed	Number	14	119	25	30	30
		[1.2.2] capacity augmented	Mld.	342	2300	1000	1200	1200
	[1.3] Sanction of solid waste management projects	[1.3.1] No. of projects sanctioned	Number	1	4	1	1	1
	[1.4] Completion of solid waste management projects	[1.4.1] No. of towns covered	Number	5	16	8	9	9
	[1.5] Sanction of Sewerage/ septage Projects	[1.5.1] No. of projects sanctioned	Number	8	18	2	3	3
	[1.6] Completion of Sewerage/ septage Projects	[1.6.1] No. of projects completed	Number	11	23	20	30	30
		[1.6.2] Capacity created	Mld.	138	2500	1000	1500	1500
	[1.7] Delivery of buses	[1.7.1] Total number of buses delivered	Number	2500	2500	1200	--	--
	[1.8] Sanction of metro rail projects	[1.8.1] No. of projects sanctioned	Number	--	2	00	--	--
[1.9] Completion of Sections of Metro Rail lines	[1.9.1] No. of Sections completed	Number	--	13	2	1	1	
[2] Creating enabling policy environment for efficient and inclusive urban governance	[2.1] Awareness campaign under NUSP	[2.1.1] Number of Class 1 cities in which awareness campaign undertaken	Number	400	400	360	400	400

### Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value	Actual Value	Target Value	Projected Value for	Projected Value for
				FY 09/10	FY 10/11	FY 11/12	FY 12/13	FY 13/14
	[2.2] Benchmarking services levels of basic services in cities	[2.2.1] Number of cities for which benchmarking has been done	Number	27	50	900	100	100
	[2.3] Finalization of City sanitation Plans	[2.3.1] Number of Cities covered	Number	50	100	90	1000	1000
	[2.4] Legislations	[2.4.1] Introduction of legislations	Number	--	6	0	--	--
	[2.5] Policy	[2.5.1] Issue of Policy advisories	Number	5	--	4	7	7
	[2.6] Implementation of Urban Transport related policy measures	[2.6.1] Number of policy measures implemented	Number	23	30	11	10	10
[3] Facilitate implementation of Urban Sector Reforms under Jawaharlal Nehru National Urban Renewal Mission	[3.1] Implementation of reforms identified under the mission and other schemes	[3.1.1] % of reforms implemented against target in identified cities.	%	65	75	66	--	--
[4] Capacity building of key stakeholders including elected representatives for efficient urban management	[4.1] Conduct of training programmes	[4.1.1] No. of people trained	Number	4545	5500	6000	6000	6000
[5] To facilitate planned development of the National Capital Region	[5.1] Submission to the competent authority the policy on regularization of unauthorized affluent colonies by the Ministry	[5.1.1] Submission of draft policy guidelines to the competent authority	Date	--	--	31/10/2011	--	--
* Efficient Functioning of the RFD System	Timely submission of Draft for Approval	On-time submission	Date	30/11/2009	05/03/2010	07/03/2011	--	--
	Timely submission of Results	On- time submission	Date	--	02/05/2011		--	--
* Improving Internal Efficiency / Responsiveness / Service	Implementation of Sevottam	Resubmission of revised draft of Citizens' /	Date	--	--		--	--

\* Mandatory Objective(s)

### Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value	Actual Value	Target Value	Projected Value for	Projected Value for
				FY 09/10	FY 10/11	FY 11/12	FY 12/13	FY 13/14
delivery of Ministry / Department		Clients' Charter						
		Independent Audit of Implementation of Grievance Redress Mechanism	%	--	--		--	--
	Ensure compliance with Section 4(1) (b) of the RTI Act, 2005	No. of items on which information is uploaded by February 10, 2012	No	--	--		--	--
	Identify potential areas of corruption related to departmental activities and develop an action plan to mitigate them	Finalize an action plan to mitigate potential areas of corruption.	Date	--	--		--	--
	Develop an action plan to implement ISO 9001 certification	Finalize an action plan to implement ISO 9001 certification	Date	--	--		--	--
* Ensuring compliance to the Financial Accountability Framework	Timely submission of ATNS on Audit Paras of C&AG	Percentage of ATNS submitted within due date (4 months) from date of presentation of Report to Parliament by CAG during the year.	%	--	56		--	--
	Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRs submitted within due date (6 months) from date of presentation of Report to Parliament by PAC during the year.	%	--	0		--	--
	Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2011.	Percentage of outstanding ATNs disposed off during the year.	%	--	89		--	--
	Early disposal of pending ATRs on PAC Reports	Percentage of outstanding ATRs disposed off during	%	--	95		--	--

\* Mandatory Objective(s)

### Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value FY 09/10	Actual Value FY 10/11	Target Value FY 11/12	Projected Value for FY 12/13	Projected Value for FY 13/14
	presented to Parliament before 31.3.2011	the year.						

\* Mandatory Objective(s)

## Section 4: Description and Definition of Success Indicators and Proposed Measurement

(Refer 1 section 2:- It is not possible for the Ministry to specify the service level targeted for achievement by 2017 because service delivery is not directly controlled by Ministry; measurement of service levels is very new concept and so it is difficult to predict what service level can be targeted for achievement by 2017.

(Refer 2.6 Section 2 ) In Urban transport related policy measures implementation of one policy measure by one town constitutes one item. e.g if a town frames a parking policy it counts as one item, if it also implements the policy regarding UMTA then it counts as 2 items.

(Refer 3.1 Section 2 ) Implementation of Reforms: The success indicator has been defined as the ratio of the total number of reforms(cumulative) achieved since the inception of the mission against the total number of reforms ( cumulative ) since inception of the mission targeted. Numerator (No. of reforms implemented) and the denominator (No. of reforms required to be implemented) are different in the both the years. Hence, as an absolute number, the numbers of reforms achieved in 2011-12 would be more than number of reforms achieved in 2010-11. Hence, the percentage to be retained as 75.

(Refer 4.1 Section 2 ) Capacity Building: Success indicator has been defined the total number of persons trained, since it will not be possible to devise a method for measurement of efficiency of training.

Explanatory note for target values

(Refer 1.1, 1.3,1.5 Section 2) Since 2011-12 is the last year of JNNURM, fresh sanctions are not being taken up in a major way Hence the target values are lower compared to 2010-11.

(Refer 1.2,1.4,1.6) On account of slippage on part of states / Urban Local Bodies in implementation of reforms, Finance Ministry did not release funds for nine months in 2010-11.As a result, the completion of projects in 2011-12 is likely to be adversely affected. Hence, The target values for project completions are lower as compared to last year.

(Refer 1.7 Section 2). The No. of buses to be delivered is lower because only residuary deliveries are to be completed this year

(Refer 1.8 Section 2 ). Sanction of Metro Rail projects being highly capital intensive is dependent on availability of resources. Taking into account the resource availability only one project is likely to be sanctioned in 2011-12 Hence the target is lower this year.

(Refer 1.9 Section 2 ). As per project schedule only two sections are to be completed this year. Hence, the target is two, which is lower than last year, when a larger number of sections were completed in view of Commonwealth Games.

**Section 4:  
Description and Definition of Success Indicators  
and Proposed Measurement**

**Section 5:**  
**Specific Performance Requirements from other Departments**

Department	Relevant Success Indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
Respective Administrative Ministries / Depts.	Sanction of projects / Release of Funds	Expeditious releases	Projects cannot progress if funds are not given on time	Fully Dependent	Progress may be hampered
State Government including its State Level Nodal Agency	Sanction of projects	Forwarding of properly prepared DPR with requisite approvals / Documents	Without cooperation of State Governments, sanction, implementation and monitoring of projects and reforms not possible	-do-	Implementation of the Scheme or Reforms not possible
	Completion of projects	Efficient project management and monitoring	-Do-	Fully Dependent	-Do-
	% of reforms implemented	Timely action to implement the reforms	-Do-	Fully dependent	-Do-
GOI Planning Commission	Sanction of projects	Funding/ Additional funding for schemes	To provide funds to the States that have exhausted their Central allocation	Fully dependent	Sanction cannot be accorded by CSMC to new projects
GOI M/o Finance	Sanction of projects and completion of projects	Timely release of funds	To implement the schemes by the State Governments	Fully dependent	Implementation of schemes may be delayed for want of funds

Urban Local Bodies/ other implementing agencies	Completion of project	Timely and proper action for pre- project preparatory work , obtaining necessary clearances and smooth implementation of projects	For efficient execution and timely completion of the schemes.	Fully dependent	Quality and timely implementation cannot be ensured
	% of reforms implemented	Timely action to implement the reforms	Implementation of reforms is a condition for implementation of the schemes	Fully dependent	Implementation of relevant Projects/reforms not possible

## Section 6: OutCome/Impact of Department/Ministry

OutCome/Impact of Department/Minist	Jointly responsible for influencing this outcome / impact with the following department (s) / ministry(ies)	Success Indicator	Unit	FY 09/10	FY 10/11	FY 11/12	FY 12/13	FY 13/14
1 Improved Urban Infrastructure	Planning Commission/ Ministry of Finance / State Government and Urban Local Bodies	Capacity augmented of water supply projects - capacity in mld	Number	342	2300	1200	1200	1200
		Increased capacity of Sewerage/Septage Projects - capacity created in mld	Number	138	2500	1500	1500	1500
		Increased capacity of Solid Waste Management Projects - No. of towns covered	Number	5	16	9	9	9
2 Improved Urban Sector Reforms	State Government and Urban Local Bodies	% of Reforms implemented in cities	%	48	56	61	-	--
		Overall % of Reforms	%	56	69	75	-	--
		No. of cities covered	Number	63	65	65	65	-
3 Improved Capacity of Urban Local Body Personnel	Planning Commission/ Ministry of Finance / State Government and Urban Local Bodies	No. of Personnel Trained	Number	4545	5500	6500	6000	6000